

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF: Duelli et al. OUR FILE NO: 18-3 US
SERIAL NUMBER: 10/098,585 GROUP: 2874
FILED: March 15, 2002 EXAMINER: Wood, Kevin S.
TITLE: COMPACT OPTICAL FIBER COUPLER

Information Disclosure Statement

Mail Stop Non-Fee Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an Information Disclosure Statement (Form PTO-1449) in the above-captioned application with references.

Certification

_____ This Information Disclosure Statement is submitted within three months of:

- (i) the filing date of the above-identified U.S. National Patent application, or
- (ii) the date of entry into the U.S. National Stage of the above-identified International Application, or
- (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies.

_____ This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application.

 X _____ This Information Disclosure Statement is submitted after three months from

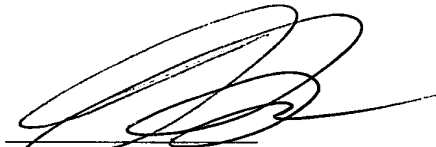
- (i) the filing date of the above-identified U.S. National Patent application, or
- (ii) after three months from entry into the U.S. National Stage of the above-identified International Application; or
- (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies; and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to issuance of the earlier of any Final Action or Notice of Allowance sent in such application. The certification under 37 C.F.R. §1.97(e) is submitted separately or below, or the fee required under 37 C.F.R. §1.97(c) and § 1.17(p) is submitted herewith.

_____ This Information Disclosure Statement is submitted after the earlier of the mailing date of a final rejection or Notice of Allowance sent in this application but before payment of the Issue Fee. The certification required under 37 C.F.R. § 1.97(e) is submitted separately or below. A petition to the Commissioner and the appropriate fee pursuant to §1.17(i) (1) are submitted herewith.

- _____ A certification under 37 C.F.R §1.97 is submitted herewith separately from this paper.
- _____ It is hereby certified that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- _____ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this statement.

The Commissioner is hereby authorized to charge the required fee of \$180.00 and any additional fees which may be required, or credit any overpayment, to Deposit Account No: 50-1465.

Respectfully submitted,



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PATENT & TRADEMARK OFFICE Form PTO 1449 U.S. Department of Commerce Patent and Trademark Office Information Disclosure Statement by Applicant	ATTY. DOCKET NUMBER: 18-3 US	SERIAL NUMBER: 10/098,585
	APPLICANT: DUELLI ET AL.	
	FILING DATE: MARCH 15, 2002	GROUP: 2874

U.S. Patent Documents

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING APP#
		4,205,901	Jun. 3, 1980	Ramsay et al.	350	96.31	
		4,268,112	May 19, 1981	Peterson et al.	350	96.18	
		4,456,330	Jun. 26, 1984	Blüdaii	350	96.18	
		4,701,011	Oct. 20, 1987	Emkey et al.	350	96.18	
		4,737,004	Apr. 12, 1988	Amitay et al.	350	96.15	
		5,337,380	Aug. 9, 1994	Darbon et al.	385	28	
		5,457,759	Oct. 10, 1995	Kalonji et al.	385	31	
		6,014,483	Jan. 11, 2000	Thual et al.	385	33	

Foreign Patent Documents

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

EXAMINER		DATE CONSIDERED
<i>EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant</i>		